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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

N/A

Application Number

09/378,226

Filing Date

August 19, 1999

First Named Inventor

Mark D. Riggins

Group Art Unit

2766

Examiner Name

Unknown

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Attorney Docket Number

43630.00011

ENCLOSURES (check all that apply)

 Fee Transmittal Form (in duplicate) Assignment and Recordation Cover Sheet (for an Application) Request to Correct Filing Receipt Amendment / Response Drawing(s) _____ Sheets Appeal Communication to Board of Appeals and Interferences With RCE Licensing-related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) After Final Petition Return Postcard Affidavits/declaration(s) Request for Continued Examination Status Letter Extension of Time Request (in duplicate) Power of Attorney Other Enclosure(s)
(please identify below): 6 Reference(s) Terminal Disclaimer Supplemental Information Disclosure Statement (2 pages) Request for Refund PTO Form 1449 (1 page) CD, Number of CD(s) _____

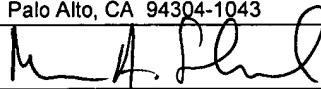
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Marc A. Sockol, Reg. No. 40,823
Squire, Sanders & Dempsey, L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043

Signature



Date

August 15, 2002

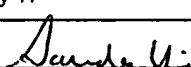
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)

0

Complete If Known

Application Number	09/378,226
Filing Date	August 19, 1999
First Named Inventor	Mark D. Riggins
Examiner Name	Unknown
Group / Art Unit	2766

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METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)					
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account Order				3. ADDITIONAL FEES					
Deposit Account Number: 05-0150 Deposit Account Name: Squire, Sanders & Dempsey, L.L.P.				Large Entity	Small Entity				
The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				Fee Code	Fee (\$)	Fee Code	Fee (\$)		
				105	130	205	65	Fee Description	Fee Paid
				127	50	227	25	Surcharge - late filing fee or oath	
				139	130	139	130	Surcharge - late provisional filing fee or cover sheet.	
				147	2,520	147	2,520	Non-English specification	
				112	920*	112	920*	For filing a request for reexamination	
				113	1,840*	113	1,840*	Requesting publication of SIR prior to Examiner action	
				115	110	215	55	Requesting publication of SIR after Examiner action	
				116	400	216	200	Extension for reply within first month	
				117	920	217	460	Extension for reply within second month	
				118	1,440	218	720	Extension for reply within third month	
				128	1,960	228	980	Extension for reply within fourth month	
				119	320	219	160	Notice of Appeal	
				120	320	220	160	Filing a brief in support of an appeal	
				121	280	221	140	Request for oral hearing	
				138	1,510	138	1,510	Petition to institute a public use proceeding	
				140	110	240	55	Petition to revive - unavoidable	
				141	1,280	241	640	Petition to revive - unintentional	
				142	1,280	242	640	Utility issue fee (or reissue)	
				143	460	243	230	Design issue fee	
				144	620	244	310	Plant issue fee	
				122	130	122	130	Petitions to the Commissioner	
				123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
				126	180	126	180	Submission of Information Disclosure Stmt	
				581	40	581	40	Recording each patent assignment per property (times number of properties)	
				146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
				149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
				179	740	279	370	Request for Continued Examination (RCE)	
				169	900	169	900	Request for expedited examination of a design application	
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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Marc A. Sockol	Registration No. Attorney/Agent)	40,823	Telephone	650.856.6500
Signature				Date	August 15, 2002

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PATENT
ATTORNEY DOCKET NO.: 43630.00011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Date: August 15, 2002

By: Sandy Yi
Sandy Yi

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In Re Application of:	
Mark D. Riggins	Examiner: Unknown
Serial No.: 09/378,226	Art Unit: 2766
Filed: August 19, 1999	
For: SYSTEM AND METHOD FOR ENCRYPTING AND DECRYPTING FILES	

Commissioner for Patents
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR §§1.97(c) WITH CERTIFICATION**

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56 and pursuant to 37 CFR §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the enclosed Form PTO-1449. One copy of each cited reference is submitted herewith.

The present Supplemental Information Disclosure Statement is being filed after receiving the first office action and before receiving an action closing prosecution on the merits. Accordingly, as specified in §1.97(e)(1), Applicant's undersigned attorney hereby certifies:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

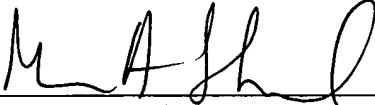
The Examiner is requested to make this information of official record in the application. Please initial and return the enclosed Form PTO-1449, indicating that the references have been considered and made of record herein.

The information presented herewith is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. 05-0150.

Date: August 15, 2002
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Respectfully submitted,

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